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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

U.S. PTO  
03916 10 665014

092203

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 66]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 21]
  - Formal  Informal
- Oath or Declaration [Total Sheets 1]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

Attorney Docket No. 001701.00240

First Inventor Toru Tanzawa et al.

Title Non-Volatile Semiconductor Memory

Express Mail Label No.

ADDRESS TO: Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. 3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No: 09 / 953,227

Prior application information: Examiner Le, V.

Art Unit: 2824

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	22907 (Insert Customer No. or Attach bar code label here)	or <input type="checkbox"/> Correspondence address below
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Name			
Address			
City	State	Zip Code	
Country	Telephone		Fax

Name (Print/Type)	Gary D. Fedorochko	Registration No. (Attorney/Agent)	35,509
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Signature	Gary D. Fedorochko	Date	9/22/03
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This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

09/22/03

# **FEE TRANSMITTAL**

## **for FY 2003**

*Effective 01/01/2003. Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 834)

<i>Complete if Known</i>	
Application Number	TBA
Filing Date	Herewith
First Named Inventor	Toru Tanzawa et al.
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	001701.00240

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)				
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None					<b>3. ADDITIONAL FEES</b>				
<input checked="" type="checkbox"/> Deposit Account:		Deposit Account Number 19-0733			Large Entity		Small Entity		
Deposit Account Name Banner & Witcoff, LTD.		Fee Code	Fee (\$)	Fee Code	Fee (\$)	<b>Fee Description</b>			<b>Fee Paid</b>
		1051	130	2051	65	Surcharge - late filing fee or oath			
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
		1053	130	1053	130	Non-English specification			
		1812	2,520	1812	2,520	For filing a request for reexamination			
		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
		1251	110	2251	55	Extension for reply within first month			
		1252	410	2252	205	Extension for reply within second month			
		1253	930	2253	465	Extension for reply within third month			
		1254	1,450	2254	725	Extension for reply within fourth month			
		1255	1,970	2255	985	Extension for reply within fifth month			
		1401	320	2401	160	Notice of Appeal			
		1402	320	2402	160	Filing a brief in support of an appeal			
		1403	280	2403	140	Request for oral hearing			
		1451	1,510	1451	1,510	Petition to institute a public use proceeding			
		1452	110	2452	55	Petition to revive – unavoidable			
		1453	1,300	2453	650	Petition to revive – unintentional			
		1501	1,300	2501	650	Utility issue fee (or reissue)			
		1502	470	2502	235	Design issue fee			
		1503	630	2503	315	Plant issue fee			
		1460	130	1460	130	Petitions to the Commissioner			
		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)			
		1806	180	1806	180	Submission of Information Disclosure Stmt			
		8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
		1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))			
		1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))			
		1801	750	2801	375	Request for Continued Examination (RCE)			
		1802	900	1802	900	Request for expedited examination of a design application			
<b>Other fee (specify) _____</b>									
*Reduced by Basic Filing Fee Paid					<b>SUBTOTAL (3)</b>				
					(\$ 0)				

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Gary D. Fedorochko	Registration No. Attorney/Agent)	35,509	Telephone	202-824-3000
Signature				Date	9/22/03

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.